# UTILITY PATENT APPLICATION TRANSMITTAL

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

| Attorney Docket No. | 862.C2042 |
|---|---|
| *First Named Inventor or Application Identifier* | |
| Yushi MATSUKUBO | |
| *Express Mail Label No.* | |

## APPLICATION ELEMENTS
*See MPEP chapter 600 concerning utility patent application contents.*

**ADDRESS TO:** Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☐ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification — *Total Pages* [41]

4. ☒ Drawing(s) *(35 USC 113)* — *Total Sheets* [9]

5. ☒ Oath or Declaration — *Total Pages* [2]

   a. ☒ Newly executed (original or copy)

   b. ☐ Copy from a prior application (37 CFR 1.63(d))
*(for continuation/divisional with Box 17 completed)*

     i. ☐ **DELETION OF INVENTOR(S)**
Signed Statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*

8. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*

   a. ☐ Computer Readable Form (CRF)

   b. Specification Sequence Listing on:

     i. ☐ CD-ROM or CD-R (2 copies); or

     ii. ☐ paper

   c. ☐ Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)*    ☐ Power of Attorney

11. ☐ English Translation Document *(if applicable)*

12. ☐ Information Disclosure Statement (IDS)/PTO-1449    ☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)
*(Should be specifically itemized)*

15. ☐ Certified Copy of Priority Document(s)
*(if foreign priority is claimed)*

16. ☐ Other: _____

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**17.** If a CONTINUING APPLICATION, *check appropriate box and supply the requisite information:*

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    of prior application No. ___/_____

*Prior application information:*    *Examiner* _____    *Group/Art Unit:* _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

| ☒ Customer Number or Bar Code Label | 05514 (Insert Customer No. or Attach bar code label here) | or ☐ Correspondence address below |
|---|---|---|

| NAME | |
|---|---|
| Address | |

| City | | State | | Zip Code | |
|---|---|---|---|---|---|
| Country | | Telephone | | Fax | |

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|---|---|---|---|---|---|
| | TOTAL CLAIMS (37 CFR 1.16(c)) | 40-20 = | 20 | X $ 18.00 = | $360.00 |
| | INDEPENDENT CLAIMS (37 CFR 1.16(b)) | 5-3 = | 2 | X $ 80.00 = | $160.00 |
| | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) | | | $270.00 = | $0 |
| | | | | BASIC FEE (37 CFR 1.16(a)) | $710.00 |
| | | | Total of above Calculations = | | $1,230.00 |
| | Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28). | | | | 0 |
| | | | | TOTAL = | $1,230.00 |

19. Small entity status

    a. ☐ A small entity statement is enclosed

    b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
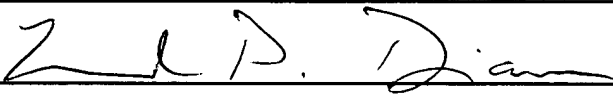
    c. ☐ Is no longer claimed.

20. ☒ A check in the amount of $ __1,230.00__ to cover the filing fee is enclosed.

21. ☐ A check in the amount of $ _____ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

    a. ☒ Fees required under 37 CFR 1.16.

    b. ☒ Fees required under 37 CFR 1.17.

    c. ☐ Fees required under 37 CFR 1.18.

| *SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED* | |
|---|---|
| NAME | LEONARD P. DIANA (Reg. No. 29,296) |
| SIGNATURE | |
| DATE | November 3, 2000 |

Form #125
NY_MAIN 123972 v 1